

# Global Overview of 2012 Pooled Funding

**CERF, CHFs and ERFs**



15 February 2013

## 1. Introduction

This overview provides key funding information on the Central Emergency Response fund (CERF), Common Humanitarian Funds (CHF) and Emergency Response Funds (ERFs) in 2012. Furthermore, it places this information in the context of 2012 global humanitarian funding captured by the Financial Tracking Service (FTS).

This document is limited to key funding information for non-technical audiences. It only includes information on donor funding to pooled funds and on allocations from these funds to recipient agencies. Information on other income or expenditures from these funds, such as administrative fees, interest, bank fees, cost recoveries, etc., exceeds the scope of FTS and is not included.

Furthermore, this overview reflects the pooled fund allocations from a programmatic perspective, as allocated and reported to FTS by fund managers, and does not track or reflect the disbursements of these allocations from a financial perspective. As such, it serves as an approximate indication of humanitarian funding flows and does not reflect certified financial data.

Information in this overview on funding to and allocations from the CERF is provided by the CERF Secretariat. Information on funding to CHFs and ERFs is sourced from the UNDP Multi-Partner Trust Fund Office and OCHA's Donor Relation Section respectively. Information on fund allocations from CHFs and ERFs is provided by CHF/ERF managers in the field. Background information on global humanitarian funding in 2012 has been reported to FTS by donors and recipient agencies and represents status as of 15 February 2013.

CERF, CHFs and ERFs are further referred to collectively in this document as pooled funds. All tables included in this overview are available on FTS website and present values in US dollars.

## 2. 2012 Global Overview

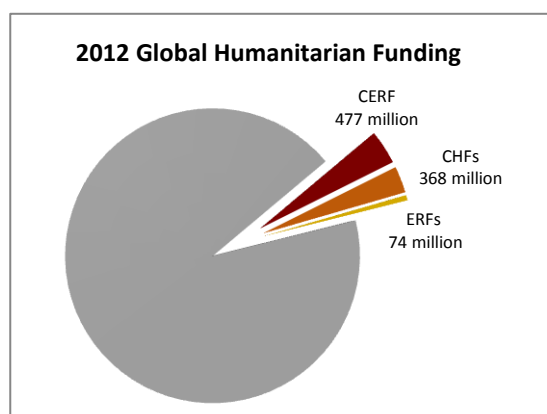
The Central Emergency Response Fund (CERF), Common Humanitarian Funds (CHFs) and Emergency Response Funds (ERFs) allocated \$920 million in 2012. This amount accounts for 7.2 percent of 2012 global humanitarian funding recorded by FTS.

| Funding Sources                       | Total Allocations       | % of Total  |
|---------------------------------------|-------------------------|-------------|
| Pooled Funds - CERF, CHFs and ERFs    | \$920,006,610           | 7.2%        |
| All other global humanitarian funding | \$11,853,711,916        | 92.8%       |
| <b>Total</b>                          | <b>\$12,776,533,107</b> | <b>100%</b> |

## 3. Overview of 2012 Allocations by Fund Type

Out of the total amount of \$920 million allocated by pooled funds in 2012, \$477 million was allocated by the CERF, \$368 million by CHFs and \$74 million by ERFs.

| Pooled Funds | Total Allocations    | % of Total  |
|--------------|----------------------|-------------|
| CERF         | \$477,342,407        | 51.9%       |
| CHFs         | \$368,401,300        | 40.0%       |
| ERFs         | \$74,262,902         | 8.1%        |
| <b>Total</b> | <b>\$920,006,610</b> | <b>100%</b> |



#### 4. Overview of 2012 CERF Funding

CERF received \$426 million in donor funding for 2012 and internally transferred 47 million from the loan to the grant component. The fund made 522 allocations totaling to \$477 million, out of which \$319 million was allocated through the rapid response window and \$158 million through the underfunded window.

| Funding to CERF* | CERF Rapid Response Allocations | CERF Underfunded Allocations | Total CERF Allocations |
|------------------|---------------------------------|------------------------------|------------------------|
| \$472,187,510    | \$319,146,955                   | \$158,195,452                | \$477,342,407          |

\*Funding to CERF = donor commitments and paid contributions (425,629,453) + internal transfer (46,558,057)

#### 5. Overview of 2012 CHF Funding

CHFs operated in the Central African Republic (CAR), Democratic Republic of Congo (DRC), Somalia, South Sudan and Sudan and had \$399 million available for allocations in 2012. Over the course of the year, these funds made 700 allocations to humanitarian projects, totaling \$368 million.

| Common Humanitarian Funds | Donor Funding        | Carry-over          | Total Funding* Available | Total Allocations    |
|---------------------------|----------------------|---------------------|--------------------------|----------------------|
| CAR                       | \$4,735,844          | \$3,757,255         | \$8,493,099              | \$5,879,393          |
| DRC                       | \$89,807,968         | \$8,601,683         | \$98,409,651             | \$87,872,701         |
| Somalia                   | \$89,438,817         | \$4,092,820         | \$93,531,637             | \$89,397,437         |
| South Sudan               | \$118,272,663        |                     | \$118,272,663            | \$108,440,526        |
| Sudan                     | \$73,097,502         | \$7,490,779         | \$80,588,281             | \$76,811,243         |
| <b>Total</b>              | <b>\$375,352,794</b> | <b>\$23,942,537</b> | <b>\$399,295,331</b>     | <b>\$368,401,300</b> |

\*Funding = commitments + paid contributions + carry-over

Donor funding to CHFs is reflected in this overview in the year for which the funding was intended by the donor. In the absence of this information, all funding received in the last two months of each year is reflected in the following year.

#### 6. Overview of 2012 ERF Funding

The following table includes a broad range of funds, which share similar characteristics but often have different names. These funds are referred to in this overview as ERFs.

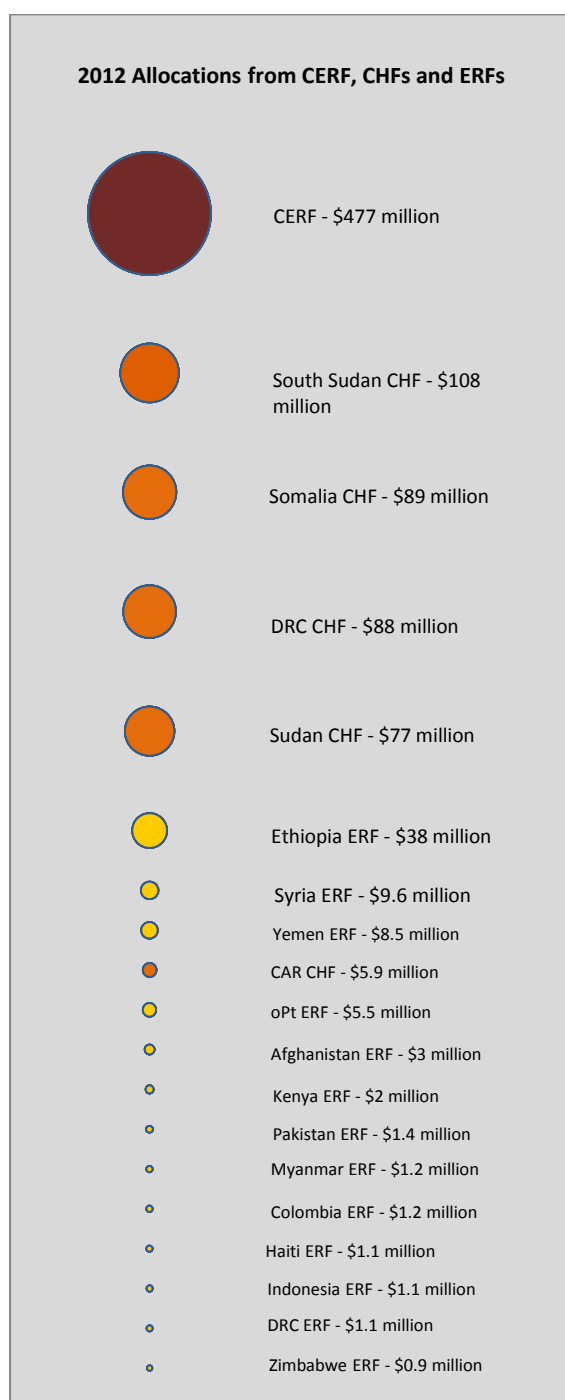
| Emergency Response Funds | Donor Funding       | Carry-over          | Total Funding* Available | Total Allocations   |
|--------------------------|---------------------|---------------------|--------------------------|---------------------|
| Afghanistan              | \$5,750,297         | \$3,149,001         | \$8,899,298              | \$2,954,421         |
| Colombia                 | \$1,177,329         | \$2,432,032         | \$3,609,361              | \$1,196,598         |
| DRC                      | \$1,000,000         | \$1,111,952         | \$2,111,952              | \$1,078,368         |
| Ethiopia                 | \$27,571,621        | \$25,372,847        | \$52,944,468             | \$37,760,894        |
| Haiti                    | \$302               | \$2,290,945         | \$2,291,247              | \$1,142,019         |
| Indonesia                |                     | \$1,875,243         | \$1,875,243              | \$1,084,818         |
| Kenya                    | \$2,551,433         | \$1,596,312         | \$4,147,745              | \$1,963,871         |
| Myanmar                  | \$1,047,200         | \$2,350,144         | \$3,397,344              | \$1,209,862         |
| Pakistan                 | \$5,676,587         | \$868,179           | \$6,544,766              | \$1,420,204         |
| oPt                      | \$3,429,521         | \$7,924,743         | \$11,354,264             | \$5,529,651         |
| Syrian Arab Republic     | \$27,867,790        |                     | \$27,867,790             | \$9,555,847         |
| Yemen                    | \$8,634,416         | \$4,973,894         | \$13,608,310             | \$8,470,252         |
| Zimbabwe                 | \$826,037           | \$1,649,915         | \$2,475,952              | \$896,097           |
| <b>Total</b>             | <b>\$85,532,533</b> | <b>\$55,595,207</b> | <b>\$141,127,740</b>     | <b>\$74,262,902</b> |

\*Funding = commitments + paid contributions + carry-over

ERFs operated in thirteen countries in 2012: Afghanistan, Colombia, DRC, Ethiopia, Haiti, Indonesia, Kenya, Myanmar, oPt, Pakistan, Syria, Yemen and Zimbabwe. These funds had \$141 million available for allocations in 2012 and made 240 allocations to humanitarian projects, totaling \$74 million.

Donor funding to ERFs is reflected in this overview in the year for which the funding was intended by the donor. This information is provided by OCHA's OCT system.

Relatively large ERF carry-overs as compared to donor funding is a reflection of the continuous nature of ERF allocations. Unlike CHFs, which mostly operate in yearly cycles, ERFs receive donor funding and make allocations to humanitarian projects anytime throughout the year.



## 7. Overview of 2012 allocations by Recipient Type

Out of \$920 million collectively allocated by pooled funds in 2012, \$671 million was allocated to UN Agencies and IOM and \$249 million to NGOs and other non-UN organizations. This represents a proportion of 73 percent of pooled funding being allocated to UN Agencies and IOM and 27 percent to NGOs and other organizations. These figures present only direct funding from pooled funds to recipient agencies and do not reflect sub-grants from recipient agencies to implementing partners.

CERF directly funds only UN Agencies and IOM. However, CHFs had 47 – 53 percent UN/NGOs allocations ratio and ERFs 30 – 70 percent UN/NGOs allocations ratio in 2012.

| in US\$      | UN*                | NGOs*              | Total Allocations  |
|--------------|--------------------|--------------------|--------------------|
| CERF         | 477,342,407        | -                  | 477,342,407        |
| CHFs         | 171,480,359        | 196,920,941        | 368,401,300        |
| ERFs         | 21,960,865         | 52,302,037         | 74,262,902         |
| <b>Total</b> | <b>670,783,631</b> | <b>249,222,978</b> | <b>920,006,610</b> |

\* These figures do not reflect sub-grants to implementing partners.

| in %         | UN*        | NGOs*      | Total Allocations |
|--------------|------------|------------|-------------------|
| CERF         | 100%       | 0%         | 100%              |
| CHFs         | 47%        | 53%        | 100%              |
| ERFs         | 30%        | 70%        | 100%              |
| <b>Total</b> | <b>73%</b> | <b>27%</b> | <b>100%</b>       |

\* These figures do not reflect sub-grants to implementing partners.

## 8. Overview of 2012 Allocations by Country

South Sudan, DRC and Sudan were the largest recipients of allocations from pooled funds in 2012 (South Sudan - \$148 million, DRC - \$120 million, and Sudan - \$97 million). This funding accounted for between 14 and 19 percent of the overall humanitarian funding received by these countries.

Due to the size limit of this document, the table below presents only countries receiving funding from country-based pooled funds. For complete list of countries funded by the CERF, please visit the CERF or FTS websites.

| Country              | CERF Allocations     | CHF Allocations      | ERF Allocations     | Total Pooled Fund Allocations | Total Humanitarian Funding Received by Country | Pooled Funding as % Total Funding Received |
|----------------------|----------------------|----------------------|---------------------|-------------------------------|--|--|
| Afghanistan          | \$9,995,396          |                      | \$2,954,421         | <b>\$12,949,817</b>           | \$509,812,444                                  | 2.5%                                       |
| CAR                  | \$7,991,212          | \$5,879,393          |                     | <b>\$13,870,605</b>           | \$98,693,805                                   | 14.1%                                      |
| Colombia             | \$4,084,143          |                      | \$1,196,598         | <b>\$5,280,741</b>            | \$56,188,213                                   | 9.4%                                       |
| DRC                  | \$31,486,288         | \$87,872,701         | \$1,078,368         | <b>\$120,437,357</b>          | \$630,936,430                                  | 19.1%                                      |
| Ethiopia             | \$13,984,781         |                      | \$37,760,894        | <b>\$51,745,675</b>           | \$659,571,722                                  | 7.8%                                       |
| Haiti                | \$11,897,489         |                      | \$1,142,019         | <b>\$13,039,508</b>           | \$130,802,400                                  | 10.0%                                      |
| Indonesia            |                      |                      | \$1,084,818         | <b>\$1,084,818</b>            | \$8,968,548                                    | 12.1%                                      |
| Iraq                 | \$2,567,704          |                      | \$517,446           | <b>\$3,085,150</b>            | \$48,994,945                                   | 6.3%                                       |
| Jordan               | \$3,994,809          |                      | \$1,570,415         | <b>\$5,565,224</b>            | \$241,517,391                                  | 2.3%                                       |
| Kenya                | \$2,000,830          |                      | \$1,963,871         | <b>\$3,964,701</b>            | \$669,778,847                                  | 0.6%                                       |
| Lebanon              | \$2,978,910          |                      | \$1,493,101         | <b>\$4,472,011</b>            | \$164,944,982                                  | 2.7%                                       |
| Myanmar              | \$16,651,567         |                      | \$1,209,862         | <b>\$17,861,429</b>           | \$124,054,351                                  | 14.4%                                      |
| Pakistan             | \$36,736,840         |                      | \$1,420,204         | <b>\$38,157,044</b>           | \$453,709,683                                  | 8.4%                                       |
| oPt                  |                      |                      | \$5,529,651         | <b>\$5,529,651</b>            | \$539,722,792                                  | 1.0%                                       |
| Somalia              |                      | \$89,397,437         |                     | <b>\$89,397,437</b>           | \$866,565,776                                  | 10.3%                                      |
| South Sudan          | \$40,044,091         | \$108,440,526        |                     | <b>\$148,484,617</b>          | \$880,155,757                                  | 16.9%                                      |
| Sudan                | \$20,158,449         | \$76,811,243         |                     | <b>\$96,969,692</b>           | \$691,751,371                                  | 14.0%                                      |
| Syrian Arab Republic | \$36,476,732         |                      | \$5,974,885         | <b>\$42,451,617</b>           | \$423,997,223                                  | 10.0%                                      |
| Yemen                | \$23,460,436         |                      | \$8,470,252         | <b>\$31,930,688</b>           | \$425,017,942                                  | 7.5%                                       |
| Zimbabwe             | \$2,006,304          |                      | \$896,097           | <b>\$2,902,401</b>            | \$240,542,660                                  | 1.2%                                       |
| other countries*     | \$210,826,426        |                      |                     | <b>\$210,826,426</b>          | \$4,910,820,825                                | 4.3%                                       |
| <b>Total</b>         | <b>\$477,342,407</b> | <b>\$368,401,300</b> | <b>\$74,262,902</b> | <b>\$920,006,610</b>          | <b>\$12,776,533,107</b>                        | <b>7.2%</b>                                |

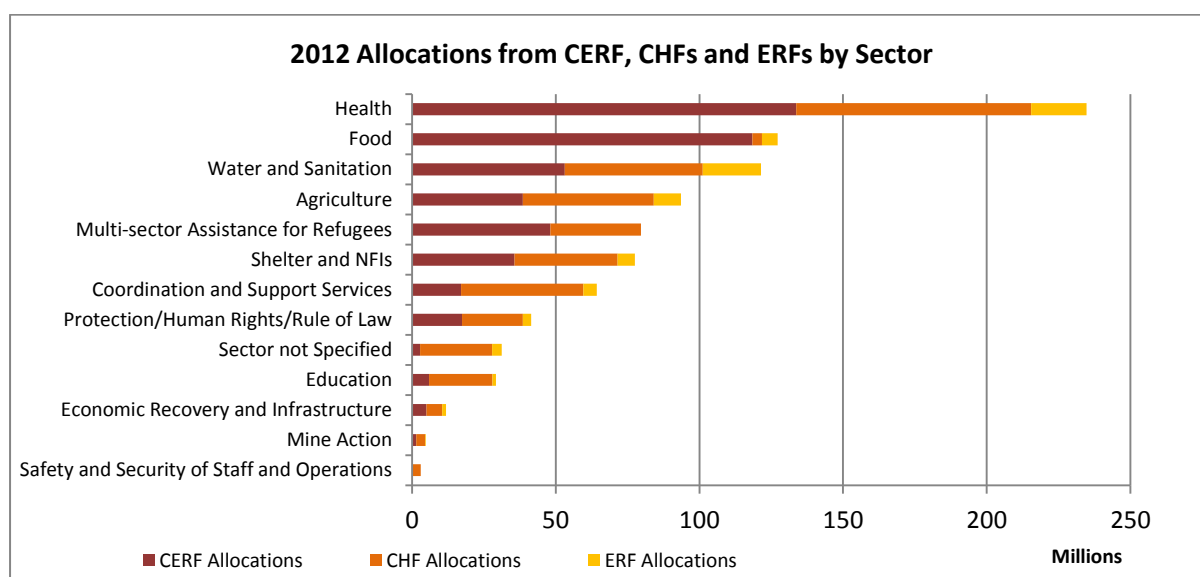
\*Complete list of CERF allocations by country can be found on CERF and FTS websites

## 9. Overview of 2012 Allocations by Sector

The biggest recipient sectors of pooled funding in 2012 were the Health (\$235 million), Food (\$127 million), and Water and Sanitation (\$121 million). Pooled funds allocations to Health and Water and Sanitation sectors accounted for 18 and 26 percent of the global funding recorded for these sectors respectively. Due to the overall size of the Food sector, pooled funding allocations to this sector accounted for only 3.4 percent of the overall sector's funding.

| IASC Standard Sector                        | CERF Allocations     | CHF Allocations      | ERF Allocations     | Total Pooled Funds Allocations | Total Global Humanitarian Funding Received by Sector | Pooled Funds as % of Global Humanitarian Funding Received |
|---|----------------------|----------------------|---------------------|--------------------------------|--|---|
| Agriculture                                 | \$38,593,693         | \$45,482,851         | \$9,503,681         | <b>\$93,580,225</b>            | \$597,641,424  | 15.7%   |
| Coordination and Support Services           | \$17,023,341         | \$42,640,524         | \$4,677,222         | <b>\$64,341,087</b>            | \$927,250,918  | 6.9%  |
| Economic Recovery and Infrastructure        | \$5,055,931          | \$5,469,936          | \$1,291,710         | <b>\$11,817,577</b>            | \$244,154,120  | 4.8%  |
| Education                                   | \$5,942,310          | \$21,984,609         | \$1,256,512         | <b>\$29,183,431</b>            | \$132,882,444  | 22.0%   |
| Food  | \$118,424,917        | \$3,340,603          | \$5,434,225         | <b>\$127,199,745</b>           | \$3,751,505,044                                      | 3.4%  |
| Health                                      | \$133,684,813        | \$81,808,156         | \$19,199,706        | <b>\$234,692,675</b>           | \$1,279,279,175                                      | 18.3%   |
| Mine Action                                 | \$1,424,095          | \$3,186,110          | \$245,681           | <b>\$4,855,886</b>             | \$144,798,971  | 3.4%  |
| Multi-sector Assistance for Refugees        | \$48,126,696         | \$31,528,021         |                     | <b>\$79,654,717</b>            | \$589,517,087  | 13.5%   |
| Protection/Human Rights/Rule of Law         | \$17,365,303         | \$21,155,248         | \$2,908,798         | <b>\$41,429,349</b>            | \$496,403,631  | 8.3%  |
| Safety and Security of Staff and Operations | \$83,764             | \$2,893,325          |                     | <b>\$2,977,089</b>             | \$14,665,365   | 20.3%   |
| Sector Not Specified*                       | \$2,771,585          | \$25,134,541         | \$3,334,358         | <b>\$31,240,484</b>            | \$3,806,952,919                                      | 0.8%  |
| Shelter and NFIs                            | \$35,683,526         | \$35,798,587         | \$6,121,570         | <b>\$77,603,683</b>            | \$361,161,173  | 21.5%   |
| Water and Sanitation                        | \$53,162,432         | \$47,978,789         | \$20,289,439        | <b>\$121,430,661</b>           | \$475,261,815  | 25.6%   |
| <b>Total</b>                                | <b>\$477,342,407</b> | <b>\$368,401,300</b> | <b>\$74,262,902</b> | <b>\$920,006,610</b>           | <b>\$12,776,533,107</b>                              | <b>7.2%</b>   |

\*Funding in sector not specified represents allocations done by pooled funds to multi-sector projects, which were impossible to link to a specific sector.



## 10. Overview of 2012 Donor Funding

Pooled funds received \$887 million in donor funding for 2012, out of which \$426 million was provided to the CERF, \$375 million to CHFs and \$86 million to ERFs. Although there were 71 donors to CERF, CHFs and ERFs, 31 percent of the overall funding to pooled funds for 2012 was provided by one donor – United Kingdom.

Furthermore, 77 percent of the total donor funding to pooled funds for 2012 was provided by five donors: United Kingdom, Sweden, Norway, the Netherlands and Denmark, while the remaining 23 percent was provided by all other donors combined.

| Donor Funding (commitments + contributions) | CERF                 | CHF                  | ERF                 | Total                | % of Grand Total |
|---|----------------------|----------------------|---------------------|----------------------|------------------|
| United Kingdom                              | \$95,148,000         | \$155,754,910        | \$23,955,652        | \$274,858,562        | 31.0%            |
| Sweden                                      | \$72,132,873         | \$64,279,159         | \$11,676,639        | \$148,088,671        | 16.7%            |
| Norway                                      | \$73,945,027         | \$45,124,400         | \$4,248,764         | \$123,318,191        | 13.9%            |
| Netherlands                                 | \$52,484,000         | \$33,700,000         | \$6,428,571         | \$92,612,571         | 10.4%            |
| Denmark                                     | \$23,152,575         | \$17,167,333         | \$9,792,363         | \$50,112,271         | 5.7%             |
| Australia                                   | \$16,272,000         | \$20,622,200         | \$2,020,910         | \$38,915,110         | 4.4%             |
| Germany                                     | \$19,402,500         | \$1,222,700          | \$15,544,041        | \$36,169,241         | 4.1%             |
| Ireland                                     | \$5,160,772          | \$22,320,916         | \$2,191,085         | \$29,672,773         | 3.3%             |
| Belgium                                     | \$19,810,992         | \$3,920,700          |                     | \$23,731,692         | 2.7%             |
| Finland                                     | \$8,517,600          | \$3,990,600          |                     | \$12,508,200         | 1.4%             |
| Switzerland                                 | \$5,951,684          | \$1,801,452          | \$2,679,019         | \$10,432,155         | 1.2%             |
| Spain                                       | \$2,608,200          | \$3,833,100          | \$2,822,981         | \$9,264,281          | 1.0%             |
| Luxembourg                                  | \$5,577,689          | \$307,425            | \$332,005           | \$6,217,119          | 0.7%             |
| United States                               | \$5,000,000          |                      |                     | \$5,000,000          | 0.6%             |
| Korea, Republic of                          | \$4,000,000          |                      | \$500,000           | \$4,500,000          | 0.5%             |
| African Union                               |                      | \$1,999,978          | \$1,999,965         | \$3,999,943          | 0.5%             |
| Qatar                                       | \$3,000,000          |                      |                     | \$3,000,000          | 0.3%             |
| Japan                                       | \$2,700,000          |                      |                     | \$2,700,000          | 0.3%             |
| Russian Federation                          | \$2,000,000          |                      |                     | \$2,000,000          | 0.2%             |
| New Zealand                                 | \$1,679,375          |                      |                     | \$1,679,375          | 0.2%             |
| DRC (CHF – ERF transfer)                    |                      | -\$1,000,000         | \$1,000,000         |                      | 0.0%             |
| Kuwait                                      | \$1,000,000          |                      |                     | \$1,000,000          | 0.1%             |
| Brazil                                      | \$750,000            |                      |                     | \$750,000            | 0.1%             |
| Poland                                      | \$264,200            | \$297,921            | \$167,716           | \$729,837            | 0.1%             |
| Italy                                       | \$645,900            |                      |                     | \$645,900            | 0.1%             |
| India                                       | \$500,000            |                      |                     | \$500,000            | 0.1%             |
| China                                       | \$500,000            |                      |                     | \$500,000            | 0.1%             |
| France                                      | \$392,670            |                      |                     | \$392,670            | 0.04%            |
| Mexico                                      | \$300,000            |                      |                     | \$300,000            | 0.03%            |
| Liechtenstein                               | \$272,747            |                      |                     | \$272,747            | 0.03%            |
| Austria                                     | \$262,080            |                      |                     | \$262,080            | 0.03%            |
| Portugal                                    | \$253,520            |                      |                     | \$253,520            | 0.03%            |
| South Africa                                | \$243,457            |                      |                     | \$243,457            | 0.03%            |
| Private (individuals & organisations)       | \$216,586            | \$10,000             | \$577               | \$226,861            | 0.03%            |
| Turkey                                      | \$200,000            |                      |                     | \$200,000            | 0.02%            |
| Indonesia                                   | \$200,000            |                      |                     | \$200,000            | 0.02%            |
| Estonia                                     | \$100,185            |                      | \$66,401            | \$166,586            | 0.02%            |
| Romania                                     | \$92,461             |                      | \$50,000            | \$142,461            | 0.02%            |
| Czech Republic                              | \$124,372            |                      |                     | \$124,372            | 0.01%            |
| Colombia                                    | \$100,000            |                      |                     | \$100,000            | 0.01%            |
| Argentina                                   | \$68,000             |                      |                     | \$68,000             | 0.01%            |
| Monaco                                      | \$64,715             |                      |                     | \$64,715             | 0.01%            |
| Latvia                                      |                      |                      | \$55,844            | \$55,844             | 0.01%            |
| United Arab Emirates                        | \$50,000             |                      |                     | \$50,000             | 0.01%            |
| Malaysia                                    | \$50,000             |                      |                     | \$50,000             | 0.01%            |
| Albania                                     | \$50,000             |                      |                     | \$50,000             | 0.01%            |
| Iceland                                     | \$50,000             |                      |                     | \$50,000             | 0.01%            |
| Singapore                                   | \$50,000             |                      |                     | \$50,000             | 0.01%            |
| Kazakhstan                                  | \$49,970             |                      |                     | \$49,970             | 0.01%            |
| Chile                                       | \$30,000             |                      |                     | \$30,000             | 0.003%           |
| San Marino                                  | \$27,291             |                      |                     | \$27,291             | 0.003%           |
| Andorra                                     | \$26,316             |                      |                     | \$26,316             | 0.003%           |
| Israel                                      | \$20,000             |                      |                     | \$20,000             | 0.002%           |
| Thailand                                    | \$20,000             |                      |                     | \$20,000             | 0.002%           |
| Egypt                                       | \$15,000             |                      |                     | \$15,000             | 0.002%           |
| Ghana                                       | \$15,000             |                      |                     | \$15,000             | 0.002%           |
| Lithuania                                   | \$10,000             |                      |                     | \$10,000             | 0.001%           |
| Viet Nam                                    | \$10,000             |                      |                     | \$10,000             | 0.001%           |
| Pakistan                                    | \$10,000             |                      |                     | \$10,000             | 0.001%           |
| Myanmar                                     | \$10,000             |                      |                     | \$10,000             | 0.001%           |
| Sri Lanka                                   | \$10,000             |                      |                     | \$10,000             | 0.001%           |
| Peru  | \$5,000              |                      |                     | \$5,000              | 0.001%           |
| Uruguay                                     | \$5,000              |                      |                     | \$5,000              | 0.001%           |
| Montenegro                                  | \$5,000              |                      |                     | \$5,000              | 0.001%           |
| Armenia                                     | \$5,000              |                      |                     | \$5,000              | 0.001%           |
| Guyana                                      | \$2,196              |                      |                     | \$2,196              | 0.0002%          |
| Tajikistan                                  | \$2,000              |                      |                     | \$2,000              | 0.0002%          |
| Moldova, Republic of                        | \$2,000              |                      |                     | \$2,000              | 0.0002%          |
| Mozambique                                  | \$2,000              |                      |                     | \$2,000              | 0.0002%          |
| Bhutan                                      | \$1,500              |                      |                     | \$1,500              | 0.0002%          |
| Djibouti                                    | \$1,000              |                      |                     | \$1,000              | 0.0001%          |
| Afghanistan                                 | \$1,000              |                      |                     | \$1,000              | 0.0001%          |
| <b>TOTAL</b>                                | <b>\$425,629,453</b> | <b>\$375,352,794</b> | <b>\$85,532,533</b> | <b>\$886,514,478</b> | <b>100%</b>      |

## Annex 1 – Definitions

|   |   |
|---|---|
| <b>Allocation</b>                                     | Creation of an obligation to provide funding from the CERF, CHF or ERF to a recipient organization  |
| <b>Allocation Code</b>                                | Unique code that pooled funds attribute to an allocation for internal tracking purposes   |
| <b>Amount in USD</b>                                  | Allocated amounts are reflected in FTS in United States dollars   |
| <b>CAP Project Code</b>                               | Consolidated appeal project code is a unique identifier for each appeal project. The code abbreviates the country, year, sector and project number. Example: SUD-12/H/46723 represents a project in the Consolidated Appeal for Sudan for 2012, in the Health sector.   |
| <b>Decision date</b>                                  | For CERF allocations – date of allocation approval by the Emergency Relief Coordinator.<br>For CHF and ERF allocations – date of allocation approval by the Humanitarian/Resident Coordinator.<br>For donor funding to CERF, CHF and ERF the decision date reflects the date of the last status change.   |
| <b>Donor country</b>                                  | Refers to funding by a national government. All funding from other sources (corporate, foundation, individuals, ecclesiastical) is reflected as “private”.  |
| <b>Funding</b>  | Commitments + paid contributions + carry-over   |
| <b>Pooled fund</b>                                    | This document refers to the Central Emergency Response Fund (CERF), Common Humanitarian Funds (CHFs) and Emergency Response Funds (ERFs) as pooled funds  |
| <b>Pooled fund carry-over</b>                         | FTS records programmatic carry-overs, which are end of year utilised closing balances of a pooled fund (the balance on the books reduced by expended amounts that have not been disbursed yet).   |
| <b>Project title</b>                                  | Brief description of the project’s goal   |
| <b>Recipient agency</b>                               | An organisation (UN Agency, NGO, or Red Cross/Red Crescent) that receives funding from a pooled fund for a specific project   |
| <b>Sector</b>   | A technical grouping of project activities. FTS follows standardised sector definitions per the guidelines for CAPs (though other groupings exist). Sectoral definitions and boundaries are arbitrary to some degree.   |
| <b>Status (pledge, commitment, paid contribution)</b> | <p>The status of a particular funding item, which is critical to understanding how much funding is actually available to agencies on the ground. FTS recognises three statuses: pledge, commitment, and contribution. Nearly all official contributions are preceded by a commitment; sometimes the commitment is preceded by a pledge. The critical factor is that agencies cannot spend funds and implement the project on the basis of a pledge; only a legally binding funding commitment from a donor (or the actual contribution) allows an agency to spend. FTS users should be aware that some agencies use the word “pledge” to refer to a commitment.</p> <p>These statuses do not apply to fund allocations by pooled funds. Since FTS does not keep track of expenditures (status of disbursements) all allocations from pooled funds are reflected as commitments, unless confirmed directly to FTS by the recipient agency.</p> |
| <b>Status: Commitment</b>                             | Creation of a contractual obligation regarding funding between the donor and a pooled fund.   |
| <b>Status: Contribution</b>                           | The transfer of funds from the donor to the pooled fund or from the pooled fund to recipient agency.  |
| <b>Status: Pledge</b>                                 | A non-binding announcement of an intended contribution by the donor. Pledges are shown in FTS as indications only and are not counted as funding.   |



## **Annex 2 – Data Standards and Disclaimers**

Donor funding to CHF is reflected in the year for which the funding was intended by the donor. This information is provided by CHF managers. In the absence of this information, all funding received in the last two months of each year is reflected in the following year. This funding is then deducted from the carry-over. Due to this methodology, the donor funding and carry-over for some CHF may differ from MPTF, which uses only accounting dates for tracking donor funding.

Donor funding to ERFs is reflected in the year for which the funding was intended by the donor. This information is provided by OCHA's OCT system.

Allocations from CHF and ERFs are published in FTS when they are approved by HC/RC In the field. Some allocations, which were approved at the field level towards the end of the year, may be accounted for in the following year in accounting systems at HQ. These differences may occur due to a delay between field level approval of these allocations and their recording in the accounting systems at HQ level.