SHF-funded response to Cyclone Sagar

1. Allocation Summary

This document lays out the approach to allocating funds through the Somalia Humanitarian Fund (SHF) Reserve allocation towards response to Sagar Cyclone. The SHF Reserve allocation of up to US$3.5 million will focus on priority life-saving humanitarian response to the impact of the tropical cyclone through the emergency rehabilitation of community assets, mobile outreach and integrated multicluster activities to the most vulnerable in the worst affected districts in Awdal region, Somaliland.

2. Context

In mid-May, tropical cyclone Sagar hit coastal areas of Somaliland and Puntland leaving widespread devastation in its wake that affected an estimated 168,000 people. The Somaliland disaster management authority, NADFOR, estimates that 49 people were killed, and 70 others are still missing. The heavy rainfall, strong winds and dangerous flash floods resulted in the destruction of 277 hectares of land and countless livestock deaths. Key infrastructure, including roads, fishing boats, medical facilities, boreholes and water wells were damaged. In addition, over 80 per cent of community shelters have been destroyed and 39 schools affected jeopardizing continued education for pupils. The restoration of damaged infrastructure and provision of basic services to remains a top priority.

Particularly hard hit was Awdal region with the worst affected districts being Lughaye, Zeylac and Baki. Prior to the cyclone, households had been trying to recover from a prolonged drought that had adversely affected their livelihood opportunities. The risk of deterioration of already poor health and nutrition outcomes remains real due to the loss of livelihoods, displacement and ongoing suspected AWD caseload, all compounded by the limited capacity of undamaged medical and WASH facilities to service communities. The heavy rains and disturbance of tracks have hindered humanitarians’ ability to access some affected areas.

Table 1: Affected population (Inter-agency mission, 20-23 May)

<table>
<thead>
<tr>
<th>District</th>
<th># Households</th>
<th># Households affected</th>
<th>Damage level</th>
</tr>
</thead>
<tbody>
<tr>
<td>Baki</td>
<td>4,795</td>
<td>2,925</td>
<td>61%</td>
</tr>
<tr>
<td>Lughaye</td>
<td>6,207</td>
<td>4,771</td>
<td>76.8%</td>
</tr>
<tr>
<td>Zeylac</td>
<td>10,259</td>
<td>5,261</td>
<td>51.3%</td>
</tr>
<tr>
<td>Gabiley</td>
<td>2,970</td>
<td>1,731</td>
<td>58.3%</td>
</tr>
<tr>
<td>Berbera</td>
<td>700</td>
<td>250</td>
<td>35.7%</td>
</tr>
<tr>
<td>Total</td>
<td>24,931</td>
<td>14,938</td>
<td>60%</td>
</tr>
</tbody>
</table>

3. SHF response strategy

The following, based on the SHF principles guiding 2018 allocations, will apply for this response:

- Prioritization of direct implementation through international and national non-governmental partners;
- Support for local partners by channelling SHF funding directly through the eligible SHF national and local partners (if, when and where feasible);
- Continue supporting integration of response across clusters and complementarity with other funding sources, bilateral funding and, when and where possible, to ensure timely and efficient prioritization in support of a stronger collective response and maximum impact of resources.
The response strategy is designed to boost the ongoing lifesaving assistance and will target the most vulnerable individuals and households in the worst affected-areas as outlined below, through a **three-prong approach**:

(1) **Rehabilitation package:**
- Up to 45% of available funds (i.e. up to $1.6 million) to be set aside for emergency rehabilitation of prioritised communal infrastructure (water points, schools, hospitals, nutrition centres);
- Primarily Education, Health and Nutrition and WASH clusters;
- Ideally one partner to oversee the process, working with other partners in the area;
- Primarily related to relatively low-cost rehabilitation needs, benefiting from economies of scale and consolidated engineering capacity.

(2) **Integrated emergency response teams (IERTs):**
- Up to 15% of available funds (i.e. up to $525,000) to be set aside for mobile outreach (health, nutrition and WASH);
- Underserved areas, over period of 6 months.

(3) **Integrated activities:**
- Up to 40% of available funds (i.e. up to $1.4 million) to be set aside for integrated FSL/shelter-NFI/Protection/CCCM activities, targeting most vulnerable individuals and households;
- Based on ongoing needs assessments (ongoing)
- Focus on underserved affected locations largest gaps (requires assessment of ongoing support)
- Protection mainstreaming through existing community structures.

*See Table 2 (below, page 3) for details per package.*
### Table 2: Clarification of cluster-specific activities per package

<table>
<thead>
<tr>
<th>Package</th>
<th>Activity per cluster</th>
<th>Region/District&lt;sup&gt;1&lt;/sup&gt;</th>
<th>Modality</th>
<th>Recommended Partner</th>
</tr>
</thead>
</table>
| 1. Rehabilitation package  
(Education/Health/Nutrition/WASH) | Education:  
- Rehabilitation of damaged temporary learning spaces, child friendly spaces, and WASH facilities including latrines.  
- Provision of teaching and learning materials, including school furniture, to 39 schools affected and fully or partially destroyed by the cyclone.  
- Water provision/water trucking, provision of water purification kits as well as hygiene kits and hygiene promotion for teacher and learners  
Health/Nutrition:  
Restoration of Health/Nutrition Care Facilities (HCFs) will target cyclone-inflicted damage in facilities that have closed or limited functioning of the provision of health and nutrition service delivery. It may include:  
- Storage facility & roof replacement or repair  
- Door and window repair or replacement  
- Repair of walls and ceilings  
- Solar system repair (vaccine cold-chain maintenance)  
- Medical equipment (to be discussed/decided)  
WASH:  
- Rehabilitation of strategic water points and distribution systems in targeted institutions and their catchment areas.  
- Rehabilitation of sanitation facilities and/or faecal sludge management systems.  
- Environmental cleaning campaigns in targeted institutions and their catchment areas | AWDAL: SCI: 6 Schools,  
NRC: 3 Schools, UNICEF: 1 School  
SAHIL: NRC: 2 School  
Schools requiring funding for rehabilitation  
Sahil: Berbera: 6 Schools  
Sanag: Garadag: 1 school,  
Erigayo 1 School  
Sool: Aynabo: 2 Schools  
Morodijeh: Hargeisa: 5 Schools  
AWdal: Baki/ Lughaye (Gaaraarabi and Lughaye Town) /Zeylac  
i) Baki HC (run by WV)  
ii) Gaaraarabi HC (WV);  
iii) Lughaye Hospital. (tbd)  
iv) Zeylac Hospital (tbc)  
v) Lawyocade HC (SRCS) | Overseen and implemented by two partners, recipient of SHF funding, with engineering capacity, managing the rehabilitation process and working closely with other partners in the area. Includes rehabilitation of all facilities of other partners (if no other funds are available). |
| 2. IERT, mobile outreach  
(H/N/WASH) | Mobile Medical Units (MMU) will fill gaps in care due to Health/Nutrition Care Facility damage and help to maintain access to care due to increased demands. They will also provide disease prevention and surveillance.  
Health:  
- Early detection, case management for water-borne disease  
- Fill gaps in acute care, Primary Health and Maternal Health Care during restoration of HCFs  
- Identification and treatment of childhood illness  
- Prevent gaps in early warning and response surveillance (EWARNs)  
- Provide essential medications and supplies | Awdal: Baki/ Lughaye (Gaaraarabi and Lughaye Town) /Zeylac | Overseen and implemented by two partners, recipient of SHF funding. | Baki/Lughaye: WV  
Zeylac: SRCS |

<sup>1</sup> Where locations have not yet been specified or fully agreed, clusters and OCHA HFU will provide information immediately as it becomes available.
### Package | Activity per cluster | Region/District | Modality | Recommended Partner
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**SHF Reserve: Response to Cyclone Sagar (Jun-Jul 2018)**

#### Nutrition:
- Maintain referral pathways
- Training of health care workers on case management of target diseases (e.g. cholera)
- Community workers screening/identification of acutely malnourished children, pregnant & lactating women (PLWs)
- Treatment of children 06-59 months and PLW per month through specialized food provisions
- Blanket supplementary feeding in prevention of acute malnutrition for children under 2
- Micronutrient support for vulnerable groups (children U5 & PLW) with Vitamin A & MMN
- Integrated multi-sectorial nutrition, health, hygiene preventative, food security and promotional support including IYCF support for caregivers.

#### WASH:
- Hygiene promotion campaigns with hygiene kit distribution.

#### 3. Integrated activities
- **(FS / CCCM / Protection / Shelter / WASH)**
  - **FSL:**
    - Cash for Work (CFW) to support the desilting of damaged irrigation canals, contour banding, rehabilitation of water catchments
    - Provision of agricultural inputs (appropriate seeds and tools) to cyclone affected farming households who need to replant to utilize delayed Gu season
    - Distribution of fishing kits to households that lost their gear due to the cyclone
    - Restocking of livestock
  - **Protection:**
    - Seek to ensure broad participation, including consensus on the selection of priority intervention, locations and beneficiaries. To include protection monitoring and referrals.
  - **Shelter:**
    - Provision of Shelter repair and reconstruction kits. Kits to include assorted materials and tools (iron sheets, nails and timber and basic working tools). Will be used to repair damaged shelters and will target the most affected vulnerable persons. Preferably provided through cash and vouchers.

### Awdal:
- i) Lughaye (Lughay town, Idocadya, Haydayta, Maame, Laancawaale, Geerisa, Fardaguxidh, Xoogfaras, Sheed-dheer, Gab, Beeyo-Kulu villages);
- ii) Baki (Baki town, Xeego, Xoorey, Dhabicad, Hamarta durdurdac, Carowanye, Cadaad, Simood, Fadhixun, Celi villages)

#### Awdal:
- Shelter repair and reconstruction kits at a cost of around US$ 250.00/HH, delivered through material & tools vouchers at 80% (or US$ 200.00) and cash at 20% (or US$ 50.00) of the total.

#### Baki:
- **ADO Lughaye:**
  - TASCO
- **Baki & Lughaye:**
  - CCBRS (combined shelter and Protection activities)

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### Package | Activity per cluster | Region/District | Modality | Recommended Partner
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- US$ 1.4 million (40%)

**Recommendations:**
- Overseen and implemented by up to three recipients of SHF funding.

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**Version:** 14 June 2018

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SHF Reserve: Response to Cyclone Sagar (Jun-Jul 2018)
4. **Process overview and timeline (see also Annex 1: SHF Process Guidelines)**

The allocation round uses the *reserve allocation modality*, allowing for a fast-tracked allocation, with strategic prioritization conducted and determined collectively by the Somalia Inter-Cluster Coordination Group (ICCG) and ultimately endorsed by the SHF Advisory Board and the Humanitarian Coordinator. During the strategic prioritization process, cluster coordinators are strongly encouraged to consult and take into consideration inputs from the relevant authorities, while upholding the underlying humanitarian principles of independence, neutrality and impartiality….

The selection of individual partners will be conducted *by package* (see Table 2) and will take into consideration the current SHF eligibility list, operational presence of partners in the prioritized area (see the latest Somalia operation 3Ws) and their capacity to mount immediate response.

Upon consultation between cluster coordinators within the specific package, it will be determined which partners are invited to submit a proposal (whether on preselection or competitive basis). The review of submitted projects will be conducted by the inter-cluster Strategic Review Committees (SRCs), whose composition may be cross-cluster, depending on projects submitted), assessing the proposed interventions by the eligible partner,\(^2\) strictly against the present allocation strategy and the pre-defined SHF score cards. Technical review will follow or may be conducted concurrently by SRC members and the OCHA HFU.

- **Target area:** The selected interventions should focus on specific and defined areas. Proposals outside of the defined geographic and substantive scope will not be considered.
- **Direct implementation** is prioritized. Sub-contracting is admissible only in exceptional cases and only when clear added value is demonstrated. Sub-contracting to partners that are eligible to receive direct SHF funding is not permissible.

**Table 3: Allocation tentative timeline**

<table>
<thead>
<tr>
<th>Date</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>27 May</td>
<td>Allocation announced (HC)</td>
</tr>
<tr>
<td>5 June</td>
<td>ICCG discusses and provisionally endorses the allocation approach</td>
</tr>
<tr>
<td>13 June</td>
<td>Response strategy shared with the SHF AB for endorsement</td>
</tr>
<tr>
<td>18 June</td>
<td>Clusters identify partners for submission of proposals</td>
</tr>
<tr>
<td>29 June</td>
<td>Deadline for the submission of SHF projects (IPs) (<em>early submission encouraged</em>)</td>
</tr>
<tr>
<td>29 June</td>
<td>Strategic Review Committees: strategic review and selection (SRCs/CCs/HFU)</td>
</tr>
<tr>
<td>2 July</td>
<td>List of recommended projects shared with the SHF AB / HC for final endorsement</td>
</tr>
<tr>
<td>2 July</td>
<td>IPs resubmit proposals, technical review finalized</td>
</tr>
<tr>
<td>6 July</td>
<td>Grant Agreements signed (HC, IPs) <em>Implementation can start at the time of IP signature</em></td>
</tr>
<tr>
<td>9 July</td>
<td>Grant Agreements signed (OCHA/EO)</td>
</tr>
<tr>
<td>19 July</td>
<td>Funds disbursed</td>
</tr>
</tbody>
</table>

\(^2\) The updated eligibility list to be published on the SHF website [https://www.unocha.org/somalia/shf/](https://www.unocha.org/somalia/shf/)
Annex 1: SHF Process Guidelines

i. Project submission and prioritisation

- Following the AB and HC’s endorsement of this strategy, cluster coordinators will be invited to identify the SHF eligible partners operationally present in the target to submit proposals strictly aligned with this strategy.
- Proposals will be selected based on the strategic relevance of their proposed interventions, their technical ability and capacity to absorb the allocated funds, the ability to respond promptly in the priority areas and work closely with cluster coordinators and other partners during the project cycle.
- The SHF eligibility list includes partners that fulfil all of the following three conditions:
  - The partner has passed the SHF capacity assessment.
  - The partner’s due diligence status in the SHF Grant Management System is approved.
  - The partner has no outstanding SHF oversight and compliance issues.
- Full project proposals will be developed and uploaded into the Grant Management System (GMS) by the implementing partners that seek funding (via https://chfsomalia.unocha.org).
  - On 7 November 2017, the GMS system migrated to a new authentication mechanism called Humanitarian ID. Therefore, to access the GMS, partners are required to log in to the Humanitarian ID. Please find useful materials for GMS - Humanitarian ID authentication mechanism via https://gms-blog.unocha.org/gms-humanitarian-id-launch. The Cluster Coordinators and/or review committees should, as they are performing their strategic and technical review, advise whether the request is valid and funding should be granted. Requests recommended for approval are subject to technical review by the Humanitarian Financing Unit (HFU/OCHA Somalia) and Funding Coordination Section (FCS/OCHA headquarters).
- Programming must reflect the distinct needs of men, women, boys and girls during the implementation period. As gender issues are manifested in different ways for each cluster, an overarching gender-sensitive approach will be ensured through prioritizing proposals that highlight their strategy towards overcoming obstacles that prevent vulnerable groups from receiving access to lifesaving services. A major focus will be placed on supporting female-headed households, as well as pregnant and lactating women who are particularly vulnerable from health- and nutrition-related risks. Children between the ages of six months and five years will also be a programming priority, as they face significant risks from malnutrition-related health complications. Protection should be mainstreamed and central to all allocations.
- Organisations that have an ongoing SHF project and apply for the same activities under this allocation should clearly indicate how the new funding will complement the previous SHF project. The decision on funding will be subject to that value of the currently ongoing IP projects, taking into consideration the SHF-assigned risk levels and the relevant thresholds.
- **Partners should not apply for more than one integrated package** (but can apply at multiple locations).
- All projects must address life-saving needs. The proposals must be backed by credible data to demonstrate the severity of needs and activities must be interconnected across clusters. Projects should show strong coordination with on-going humanitarian and resilience building interventions in the same locations.
- Implementing partners must be eligible to receive SHF funding, present in the locations targeted in this allocation round (as per 3Ws or confirmation from clusters/OCHA locally) or have the ability to immediately execute activities in the selected locations.

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3 Due diligence is a mandatory process of submission and review of basic documentation by all eligible Somalia Humanitarian Fund (SHF) implementing partners. Partners are required to update the relevant organizational information and documents on focal points, registration certificates, and banking details on a regular basis through the SHF Grant Management System (GMS) in order to apply for SHF funding. Any missing or inaccurate information in the due diligence component may prevent a partner from being considered for funding and can cause delays in the overall SHF allocation and contracting process. Projects of partners that do not have their due diligence status approved will not be considered for strategic and technical review.
- Projects should be implemented within 6 months and should not have a budget of less than $250,000, with larger project budgets strongly encouraged.
- Non-governmental organisations are prioritized for the allocation round. If, when and where feasible, local and national partners will be supported.
- Clusters should prioritize the selection of non-governmental partners directly responsible for the implementation of projects. Sub-granting is admissible in exceptional cases only. Sub-granting to SHF eligible partners is not admissible.
- While the primary responsibility to ensure the accountable and efficient use of SHF remains with the implementing partners, SHF will maintain the oversight through the application of its accountability tools.

ii. Review of projects

- Project proposals will undergo both a ‘strategic’ and a ‘technical’ review process using the Grant Management System (GMS).
  - For the strategic review, Strategic Review Committees (SRCs) will be convened (with multi-cluster composition for integrated projects).
  - During the Technical Review (technical experts from the relevant cluster and HFU staff), further attention will be paid to the following:
    - The technical soundness/quality of the proposal
    - The financial efficiency of the project
    - The coherence between the narrative, work-plan, log-frame and budget.
    - The complementarity and consistency of projects across sectors, seeking to build synergies with other sectors.
- The selection of partners and projects through SRCs should be conducted with the help of pre-defined score-cards. Selected interventions should demonstrate among others (i) strategic relevance; (ii) programmatic relevance; and (iii) cost effectiveness / value for money. Integrated response envelope submissions will also be assessed against integration.
  - Score-cards should be made available to OCHA Somalia HFU and will be recorded in the GMS by clusters to ensure transparency and accountability of the allocation process.
- To ensure timely allocation and disbursement of funds, only three technical revision rounds will be allowed for selected proposals. The partners are required to respond to comments and perform adjustments within the time set at the time of review (usually within 48 hours) and, in case of lack of clarity, be in direct touch with OCHA Somalia HFU (see contact details below) and/or cluster coordinators. Projects that fail to reach the required level of quality after three rounds of revision may not be funded, and the funding earmarked for the project may be relocated to other priorities, projects or clusters.

iii. Budgeting and finance

- Projects that can demonstrate ‘value for money’ relative to the project budget should be prioritized. Factors to consider include maximum reach and impact for given cost, outcome and beneficiary reach for each dollar invested, cost effectiveness of the intervention including, minimizing support and overhead costs.
- Projects that can demonstrate low indirect costs as a proportion of direct costs should be ranked favourably.
  - See SHF Operational Manual, Annex 2 – Budget Guidance (a); and Budget guidance preparation note (b).
- To reduce overhead costs, pass through arrangements where organisations simply pass on funding to their implementing partner organisation without providing any meaningful guidance, coordination, capacity building, technical advice, monitoring and evaluation capacities or any other function of additional value will not be funded.
• Partners with submissions across different clusters should ensure that common costs (administrative and operational) are rationalised.
• Partners should adhere to the Country-Based Pooled Funds / SHF basic definitions and guidance including on project budget preparation, use of budget narrative and itemized budgetary breakdowns (see SHF Operational Manual and its annexes).

iv. SHF Operational Manual

• For a comprehensive set of rules governing the use of SHF funds, please consult the SHF Operational Manual and its annexes available for download at www.unocha.org/somalia/governance-policy-and-guidance. Please note that an updated version of the Manual has been issued on 6 February 2018.

v. Who to contact?

OCHA Somalia Humanitarian Financing Unit (HFU) [for process and GMS-issues]

General inquiries
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WASH
- Mr. Frederic Patigny, fpatigny@unicef.org

vi. SHF feedback and complaint mechanism

- Complaints regarding the SHF process or decisions can be brought to the attention of the SHF Manager
- At any point in time, stakeholders can bring their concerns to the attention of OCHA Somalia senior management though the confidential feedback email shf-feedback@ochasomalia.org.